### Projector Monte Carlo

- •Originally suggested by Fermi and implemented in 1950 by Donsker and Kac for H atom.
- •Practical methods and application developed by Kalos:

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#### Helium at zero temperature with hard-sphere and other forces

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Various theoretical and numerical problems relating to heliumlike systems in their ground states are treated. New developments in the numerical solution of the Schrödinger equation permit the solution of 256-body systems with hard-sphere forces. Using periodic boundary conditions, fluid and crystal states can be described; results for the energy and radial-distribution functions are given. A new method of correcting for low-lying phonon excitations

### Projector Monte Carlo

(variants: Green's function MC, Diffusion MC, Reptation MC)

Project single state using the Hamiltonian

$$\phi(t) = e^{-(H-E_T)t}\phi(0)$$

- We show that this is a diffusion + branching operator. Maybe we can interpret as a probability. But is this a probability?
- Yes! for bosons since ground state can be made real and non-negative.
- But all excited states must have sign changes. This is the "sign problem."
- For efficiency we do "importance sampling."
- Avoid sign problem with the fixed-node method.

#### Diffusion Monte Carlo

- How do we analyze this operator?
- Expand into exact eigenstates of H.
- Then the evolution is simple in this basis.
- Long time limit is lowest energy state that overlaps with the initial state, usually the ground state.
- How to carry out on the computer?

$$\psi(R,t) = e^{-(H-E_T)t} \psi(R,0)$$

$$H\phi_{\alpha} = E_{\alpha}\phi_{\alpha}$$

$$\psi(R,0) = \sum_{\alpha} \phi_{\alpha}(R) \langle \phi_{\alpha} | \psi(0) \rangle$$

$$\psi(R,t) = \sum_{\alpha} \phi_{\alpha}(R) e^{-t(E_{\alpha} - E_{T})} \left\langle \phi_{\alpha} | \psi(0) \right\rangle$$

$$\lim_{t\to\infty} \psi(R,t) = \phi_0(R)e^{-t(E_0-E_T)} \left\langle \phi_0 \left| \psi(0) \right\rangle \right.$$

$$E_0 \approx E_T \Rightarrow normalization fixed$$

#### The Green's function

Operator notation

$$\frac{d\hat{\rho}}{dt} = -\hat{H}\hat{\rho}$$
$$\hat{\rho} = e^{-\hat{H}t}$$

We define the coordinate green's function (or density matrix by:

 $G(R \to R';t) = \left\langle R \left| e^{-t\hat{H}} \right| R' \right\rangle$ 

Roughly the probability density of going from  $R_0$  to R in "time" t. (but is it a probability?)

$$-\frac{\partial G(R_0 \to R; t)}{\partial t} = \hat{H}G(R' \to R; t)$$

• Properties:

$$G(R_0 \to R; 0) = \delta(R_0 - R)$$

$$G(R_0 \to R; t) = \sum_{\alpha} \phi_{\alpha}^* (R_0) \phi_{\alpha} (R) e^{-tE_{\alpha}}$$

### Monte Carlo process

- Now consider the variable "t" as a continuous time (it is really imaginary time).
- Take derivative with respect to time to get evolution.
- This is a diffusion + branching process.
- Justify in terms of Trotter's theorem.

Requires interpretation of the wavefunction as a probability density.

But is it? Only in the boson ground state. Otherwise there are nodes. Come back to later.

$$-\frac{\partial \psi(R,t)}{\partial t} = (H - E_T)\psi(R,t)$$

$$H = -\sum_{i} \frac{\hbar^2}{2m_i} \nabla_i^2 + V(R)$$

$$-\frac{\partial \psi(R,t)}{\partial t} = -\sum_{i} \frac{\hbar^2}{2m_i} \nabla_i^2 \psi(R,t)$$

$$-\frac{\partial \psi(R,t)}{\partial t} = (V(R) - E_T)\psi(R,t)$$

### Trotter's formula

How do we find the solution of:

$$\frac{d\hat{\rho}}{dt} = (A+B)\hat{\rho}$$
$$\hat{\rho} = e^{(A+B)t}$$

The operator solution is:

Trotter's formula (1959):

$$\hat{\rho} = \lim_{n \to \infty} \left[ e^{\frac{t}{n}\hat{A}} e^{\frac{t}{n}\hat{B}} \right]^n$$

 $\hat{\rho} = \lim_{n \to \infty} \left[ e^{\frac{t}{n} \hat{A}} e^{\frac{t}{n} \hat{B}} \right]^n$  Assumes that A,B and A+B are reasonable operators.

$$\left\langle R_{0} \left| \left[ e^{\frac{t}{n}\hat{A}} e^{\frac{t}{n}\hat{B}} \right]^{n} \right| R_{n} \right\rangle = \left\langle R_{0} \left| e^{\frac{t}{n}\hat{A}} \right| R_{1}^{\prime} \right\rangle \left\langle R_{1}^{\prime} \left| e^{\frac{t}{n}\hat{B}} \right| R_{1} \right\rangle .... \left\langle R_{n-1} \left| e^{\frac{t}{n}\hat{A}} \right| R_{n}^{\prime} \right\rangle \left\langle R_{n}^{\prime} \left| e^{\frac{t}{n}\hat{B}} \right| R_{n} \right\rangle$$

- This means we just have to figure out what each operator does independently and then alternate their effect. This is rigorous in the limit as  $n \rightarrow \infty$ .
- In the DMC case A is diffusion operator, B is a branching operator.
- Just like "molecular dynamics" At small time we evaluate each operator separately.

# Putting this together

• т is the "time-step"

$$\hat{\rho} = e^{-\beta(\hat{T} + \hat{V})}$$

$$\hat{\rho} = \lim_{n \to \infty} \left[ e^{-\tau \hat{T}} e^{-\tau \hat{V}} \right]^n$$

$$\tau = \beta / n$$

V is "diagonal"

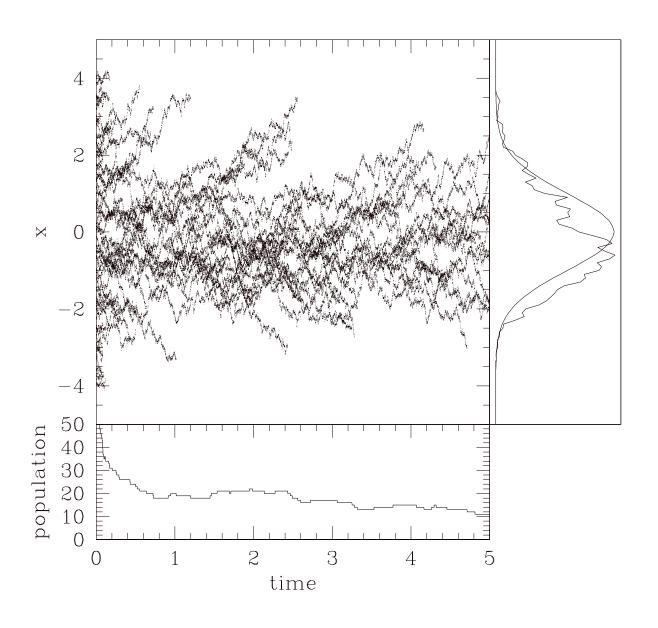
$$\langle r|e^{-\tau \hat{T}}|r'\rangle = (2\pi\tau)^{-3/2} e^{-(r-r')^2/2\tau}$$

$$\langle r | e^{-\tau \hat{V}} | r' \rangle = \delta(r - r') e^{-\tau V(r)}$$

$$\langle R_0 e^{-n\tau \hat{H}} R_n \rangle \sim \langle R_0 | e^{-\tau \hat{T}} | R_1 \rangle e^{-\tau V(R_1)} .... \langle R_{n-1} | e^{-\tau \hat{T}} | R_n \rangle e^{-\tau V(R_n)}$$

- Error at finite n comes from commutator is roughly:  $e^{-\frac{\tau^2}{2}[\hat{T},\hat{V}]}$
- Diffusion preserves normalization but potential does not!

### **DMC** for Harmonic Oscillator



### Basic DMC algorithm

- Construct an ensemble (population P(0)) sampled from the trial wavefunction.  $\{R_1, R_2, R_P\}$
- Go through ensemble and diffuse each one (timestep τ)

$$R'_{k} = R_{k} + \sqrt{\tau} \zeta(t) \qquad \text{ndrn}$$
 
$$\text{uprn}$$
 
$$\text{floor function}$$
 
$$\text{number of copies} = \underbrace{e^{-\tau(V(R) - E_{T})} + u} \qquad \text{floor function}$$

• Trial energy E<sub>T</sub> adjusted to keep population fixed.

$$E_0 = \lim_{t \to \infty} \frac{\int dR H \phi(R, t)}{\int dR \phi(R, t)} \approx \left\langle V(R) \right\rangle_{\phi(\infty)}$$

- Problems:
  - Branching is uncontrolled
  - Population unstable
  - What do we do about fermi statistics?

### **Population Bias**

- Having the right trial energy guarantees that population will on the average be stable, but fluctuations will always cause the population to either grow too large or too small.
- Various ways to control the population
- Suppose  $P_0$  is the desired population and P(t) is the current population. How much do we have to adjust  $E_T$  to make  $P(t+T)=P_0$ ?  $P(t+T)=e^{-T(-\delta E_T)}P(t)=P_0$

$$\delta E_T = \frac{\ln(P(t)/P_0)}{T}$$

- Feedback procedure:  $E_T = E_{T0} + \kappa \ln(P/P_0)$
- There will be a (small) bias in the energy caused by a limited population.

### Importance Sampling

Kalos 1970, Ceperley 1979

- Why should we sample the wavefunction? The physically correct pdf is  $|\Phi|^2$ .
- Importance sample (multiply) by trial wave function.

$$f(R,t) \equiv \psi_T(R)\phi(R,t) \qquad \lim_{t \to \infty} f(R,t) \equiv \psi_T(R)\phi_0(R)$$

$$-\frac{\partial f(R,t)}{\partial t} = \psi_T(R)H \Big[ f(R,t)/\psi_T(R) \Big] \quad \text{Commute } \Psi \text{ through } H$$

$$-\frac{\partial f(R,t)}{\partial t} = -\frac{1}{2}\nabla^2 f - \nabla \Big( f\nabla \ln \psi_T(R) \Big) + \Big( \psi_T^{-1}H\psi_T \Big) f(R,t)$$

Evolution = diffusion + drift + branching

- Use accept/reject step for more accurate evolution.
   make acceptance ratio>99%. Determines time step.
- We have three terms in the evolution equation.
   Trotter's theorem still applies.

# Green's function for a gradient

What is Green's function for the operator?

$$\vec{F}\vec{
abla}$$

variables separate to 1D problems

Evolution equation for Green's function:

$$\frac{\partial G(x,t)}{\partial t} = -F \frac{\partial G(x,t)}{\partial x} \quad \text{solution} \quad G(x,t) = h(x - Ft)$$

This operator just causes probability distribution to drift in the direction of F.

Smoluchowski equation for Brownian motion it was the effect of gravitational field on the motion of colloids.

In practice, we limit the gradient so the walk is not pushed too far.

- To the pure diffusion algorithm we have added a drift step that pushes the random walk in directions of increasing trial function: function:  $R' = R + \tau \nabla \ln \psi_T(R)$  • Branching is now controlled by the local energy

$$E_L(R) - E_T = \psi^{-1}(R) \widehat{H} \psi(R) - E_T$$

- Because of zero variance principle, fluctuations are controlled.
- Cusp condition can limit infinities coming from singular potentials.
- We still determine E<sub>T</sub> by keeping asymptotic population stable.

$$E_0 = \lim_{t \to \infty} \frac{\int \! dR \phi(R,t) H \psi_T(R)}{\int \! dR f(R,t)} \approx \left\langle E_\psi(R) \right\rangle_{f(\infty)}$$

 Must have accurate "time" evolution. Adding accept/reject step is a major improvement.

• Importanced sampled Green's function:

$$G(R \to R') = \frac{\psi(R')}{\psi(R)} \langle R | e^{-\tau H} | R' \rangle$$

Exact property of DMC Green's function

$$\left|\Psi(R)\right|^{2}G(R \to R') = \left|\Psi(R')\right|^{2}G(R' \to R)$$

 We enforce detailed balance to decrease time step errors.

$$A(s \to s') = \min \left[ 1, \frac{G(s' \to s) |\psi(s')|^2}{G(s \to s') |\psi(s)|^2} \right]$$

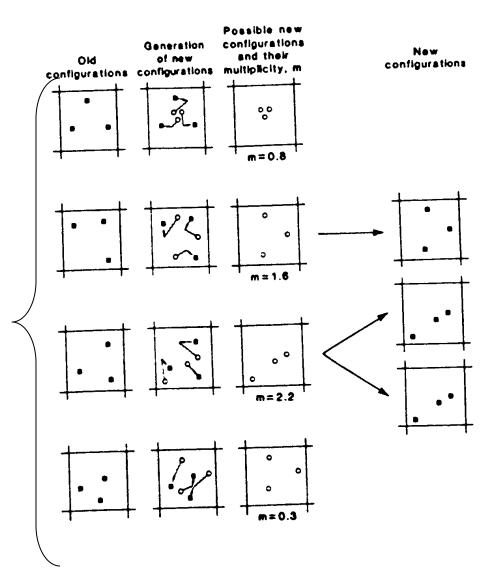
- VMC satisfies detailed balance.
- Typically we choose time step to have 99% acceptance ratio.
- Method gives exact result if either time step is zero or trial function is exact.

### Schematic of DMC

Ensemble evolves according to

- Diffusion
- Drift
- branching

ensemble



#### DIFFUSION MONTE CARLO CODE

```
Initialize the ensemble
                                                           of states
call initstate(s old)
                                                        Evaluate psi_trial
psi old = psi(s old)
                                                        Evaluate grad psi_trial
d old = drift(s old)
                                                       Loop over steps
LOOP {
                                                       Loop over walkers
   LOOP {
                                                        Sample new state from drifted Gaussian
      call sample (s old,s new,T new,d old,1)
      psi new = psi (s new)
                                                        Evaluate psi trial
                                                        Check node crossing
      if (psi new * psi old < 0) {
                                                        Kill walker if it crosses
         weight = 0
                                                          a node of psi_trial
      } else {
          d old = drift (s old)
                                                        Evaluate grad psi_trial
          call sample(s_new,s_old,T_old,d_new,0) Find transition prob.
                                                          for going backward
          A = (p \text{ new/T new})/(p \text{ old/T old})
                                                       Acceptance prob.
         if(A > rand () ) {
              s old=s new
                                                       Accept the move
              p old=p new
              naccept = naccept +1} }
       weight *= exp(- tau * local_energy (s_old) ) } Update weight
   call reweight (s old)
                                                        Reweight ensemble
   call averages (s old)
                                                       Collect statistics
```

#### Mixed estimators

- Problem is that PMC samples the wrong distribution.
- OK for the energy
- Linear extrapolation helps correct this systematic error

- Other solutions:
  - Maximum overlap
  - Forward walking
  - Reptation QMC

$$\langle A \rangle_{M} \equiv \frac{\int dR \psi^{*}(R) A \phi(R)}{\int dR \psi^{*}(R) \phi(R)}$$

$$\int dR \phi^{*}(R) A \phi(R)$$

$$\langle A \rangle_o \equiv \frac{\int dR \phi^*(R) A \phi(R)}{\int dR \phi^*(R) \phi(R)}$$

$$\left\langle A\right\rangle_{V} \equiv \frac{\int dR \psi^{*}(R) A \psi(R)}{\int dR \psi^{*}(R) \psi(R)}$$

$$\langle A \rangle_0 \simeq 2 \langle A \rangle_M - \langle A \rangle_V + O((\phi - \psi)^2)$$

$$\langle A \rangle_0 \simeq \frac{\langle A \rangle_M^2}{\langle A \rangle_V} + O((\phi - \psi)^2)$$
 for the density

$$\langle A \rangle_{M} = \langle A \rangle_{V} \Rightarrow \int dR (\phi - \psi)^{2}$$
 minimized wrt A

### Forward Walking

Kalos et al. 1974.

 Let's calculate the average population resulting from DMC starting from a single point R<sub>0</sub> after a time `t'.

$$P(R_0;t) = \int dR \frac{\psi(R)}{\psi(R_0)} \langle R | e^{-t(H-E_T)} | R_0 \rangle$$

expand the density matrix in terms of exact eigenstates

$$P(R_0;t) = \int dR \frac{\psi(R)}{\psi(R_0)} \sum_{\alpha} \phi_{\alpha}(R) \phi_{\alpha}(R_0) e^{-t(H-E_T)}$$

$$\lim_{t\to\infty} P(R_0;t) = \frac{\phi_0(R_0)}{\psi(R_0)} \langle \psi \phi_0 \rangle$$

 We can estimate the correction to the mixed estimator by weighting with the number of descendants of a given configuration.

$$\langle A \rangle_0 = \lim_{t \to \infty} \frac{1}{M} \sum_i P(R_i; t) A(R_i)$$

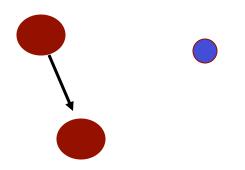
• Problem: the fluctuations in the weights eventually diverge. Don't make 't' too large.

### Fusion sticking coefficient

Phys. Rev. A 31, 1999 (1985).

- Consider the 3 body system (µ d t)
- For the sticking coefficient, we need the exact wavefunction at the point where 2 nuclei are at the same position. (this is a singular point)

$$\psi(r_1=r_2,r_3)$$



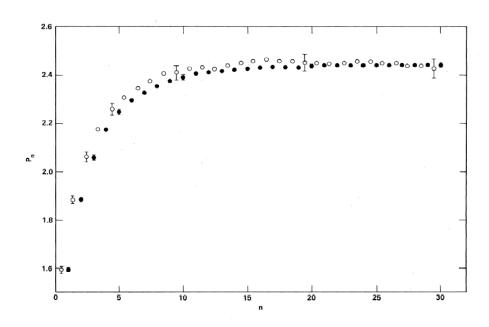


FIG. 1. The growth of the population vs the number of Monte Carlo generations using the population estimator ( $\bigcirc$ ) and the local energy estimator ( $\bigcirc$ ) at the triplet coalescence point (all three particles starting at the origin). The  $\bigcirc$ 's are shifted one-half generation to the left for clarity.

#### Fermions?

- How can we do fermion simulations? The initial condition can be made real but not positive (for more than 1 electron in the same spin state)
- In transient estimate or released-node methods one carries along the sign as a weight and samples the modulus.

$$\phi(t) = e^{-(\hat{H} - E_T)t} \operatorname{sign}(\phi(R, 0)) |\phi(R, 0)|$$

- Do not forbid crossing of the nodes, but carry along sign when walks cross.
- What's wrong with node release:
  - Because walks don't die at the nodes, the computational effort increases (bosonic noise)
  - The signal is in the cancellation which dominates

Monte Carlo can add but not subtract

### Transient Estimate Approach

$$\begin{split} &\Psi\left(\beta\right) = e^{-\frac{\beta}{2}H}\Psi\\ &Z(\beta) = \left\langle \Psi\left(\beta\right)\Psi\left(\beta\right)\right\rangle = \left\langle \Psi e^{-\beta H}\Psi\right\rangle = \int dR_{0}...dR_{p}\Psi\left(R_{0}\right)\left\langle R_{0}e^{-\tau H}R_{1}\right\rangle....\left\langle R_{p-1}e^{-\tau H}R_{p}\right\rangle\Psi\left(R_{p}\right)\\ &E\left(\beta\right) = \frac{\left\langle \Psi\left(\beta\right)H\Psi\left(\beta\right)\right\rangle}{\left\langle \Psi\left(\beta\right)\Psi\left(\beta\right)\right\rangle} = \left\langle E_{L}(R_{0})\right\rangle_{\beta} \qquad \tau = \frac{\beta}{p} \end{split}$$

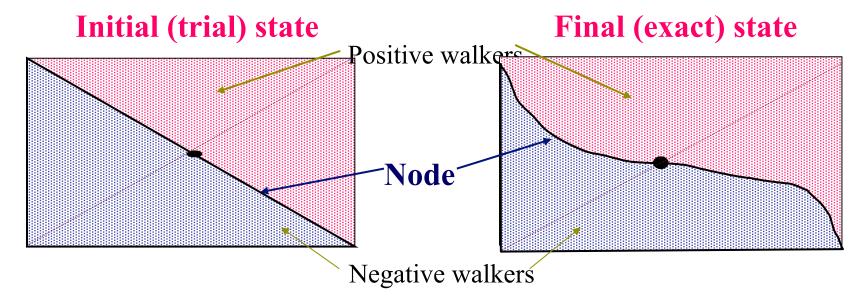
- $\Psi(\beta)$  converges to the exact ground state
- E is an upper bound converging to the exact answer monotonically

$$Z(\beta) = \int dR_0 ... dR_p \left| \Psi(R_0) \right| \left\langle R_0 e^{-\tau H} R_1 \right\rangle .... \left\langle R_{p-1} e^{-\tau H} R_p \right\rangle \left| \Psi(R_p) \right| \sigma(R_0) \sigma(R_P)$$

$$\frac{Z_{\text{fermi}}}{Z_{\text{bose}}} = \left\langle \sigma(R_0) \sigma(R_P) \right\rangle$$

#### **Model fermion problem: Particle in a box**

Symmetric potential:  $V(\mathbf{r}) = V(-\mathbf{r})$ Antisymmetric state:  $f(\mathbf{r}) = -f(-\mathbf{r})$ 



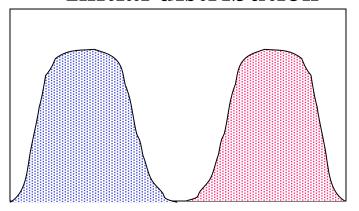
Sign of walkers fixed by initial position. They are allowed to diffuse freely. f(r)= number of positive-negative walkers. Node is dynamically established by diffusion process. (cancellation of positive and negative walkers.)

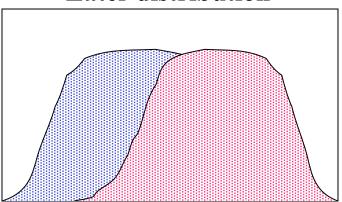
$$\langle E(t) \rangle = \frac{\sum \sigma(0)\sigma(t)E(t)}{\sum \sigma(0)\sigma(t)}$$

# Scaling in Released-Node

#### **Initial distribution**

#### Later distribution



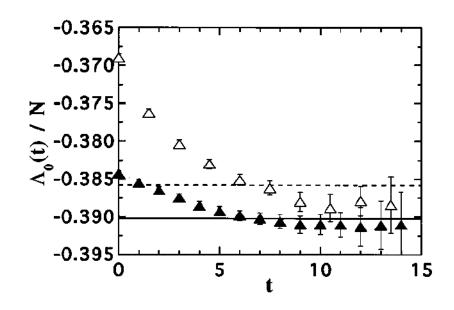


- At any point, positive and negative walkers will tend to cancel so the signal is drown out by the fluctuations.
- Signal/noise ratio is :  $e^{-t[E_F-E_B]}$  t=projection time  $E_F$  and  $E_B$  are Fermion, Bose energy (proportional to N)
- Converges but at a slower rate. Higher accuracy, larger t.
- $\begin{array}{ll} \bullet & \text{For general excited states:} & & & & & \\ & \textbf{Exponential complexity!} & \textbf{CPUtime} \varpropto \mathcal{E} & \overset{-2(1+\frac{E_F}{E_g})}{\thickapprox} \mathcal{E} & \overset{-2N\frac{e_F}{E_g}}{\end{dcases}} \\ \end{array}$
- Not a fermion problem but an excited state problem.
- Cancellation is difficult in high dimensions.

#### Exact fermion calculations

- Possible for the electron gas for up to 60 electrons.
- 2DEG at rs=1 N=26
- Transient estimate calculation with SJ and BF-3B trial functions.

$$\left\langle \Psi_{T}\left|e^{-tH}\right|\Psi_{T}\right
angle$$



# General statement of the "fermion problem"

- Given a system with N fermions and a known Hamiltonian and a property O. (usually the energy).
- How much time T will it take to estimate O to an accuracy ε? How does T scale with N and ε?
- If you can map the quantum system onto an equivalent problem in classical statistical mechanics then:

$$T \propto N^{\alpha} \varepsilon^{-2}$$
 With  $0 < \alpha < 4$ 

This would be a "solved" quantum problem!

- •All approximations must be controlled!
- Algebraic scaling in N!

e.g. properties of Boltzmann or Bose systems in equilibrium.

#### "Solved Problems"

- 1-D problem. (simply forbid exchanges)
- Bosons and Boltzmanons at any temperature
- Some lattice models: Heisenberg model, 1/2 filled Hubbard model on bipartite lattice (Hirsch)
- Spin symmetric systems with purely attractive interactions: u<0 Hubbard model, nuclear Gaussian model.</li>
- Harmonic oscillators or systems with many symmetries.
- Any problem with  $\langle i|H|j \rangle \leq 0$
- Fermions in special boxes
- Other lattice models

### The sign problem

- The fermion problem is intellectually and technologically very important.
- Progress is possible but danger-the problem maybe more subtle than you first might think. New ideas are needed.
- No fermion methods are perfect but QMC is competitive with other methods and more general.
- The fermion problem is one of a group of related problems in quantum mechanics (e.g dynamics).
- Feynman argues that general many-body quantum simulation is exponentially slow on a classical computer.
- Maybe we have to "solve" quantum problems using "analog" quantum computers: programmable quantum computers that can emulate any quantum system.

#### Fixed-node method

- Initial distribution is a pdf. It comes from a VMC simulation.  $f(R,0) = |\psi_T(R)|^2$
- Drift term pushes walks away from the nodes.
- Impose the condition:
- This is the fixed-node BC

- $\phi(R) = 0$  when  $\psi_T(R) = 0$ .
- Will give an upper bound to the exact energy, the best upper  $E_{FN} \geq E_0$  if  $\phi_0(R)\psi(R) \geq 0$  all R bound consistent with the FNBC.
- •f(R,t) has a discontinuous gradient at the nodal location.
- Accurate method because Bose correlations are done exactly.
- •Scales well, like the VMC method, as N<sup>3</sup>. Classical complexity.
- •Can be generalized from the continuum to lattice finite temperature, magnetic fields, ...
- One needs trial functions with accurate nodes.

#### Proof of fixed-node theorem

Suppose we solve S.E. in a subvolume V determined by the nodes of an antisymetric trial function.

$$\hat{H}\phi_{FN} = E_{FN}\phi_{FN}$$
 inside V

Extend the solution to all space with the permutation operator.

$$\hat{\phi}_{FN}(R) \equiv \frac{1}{N!} \sum_{P} (-1)^{P} \phi_{FN}(PR)$$

Inside a given sub-volume only permutations of a given sign  $(\pm)$  contribute.

Hence the extend solution is non-zero.

Evaluate the variational energy of the extended trial function.

$$E_{0} \leq \frac{\sum\limits_{PP'} \left(-1\right)^{P+P'} \int dR \phi_{FN}^{*} \left(PR\right) \hat{H} \phi_{FN} \left(P'R\right)}{\sum\limits_{PP'} \left(-1\right)^{P+P'} \int dR \phi_{FN}^{*} \left(PR\right) \phi_{FN} \left(P'R\right)} = E_{FN} \leq E_{VMC}$$

Surfaces do not contribute to the integral since the solution vanishes there.

#### **Nodal Properties**

If we know the sign of the exact wavefunction (the nodes), we can solve the fermion problem with the fixed-node method.

- If f(R) is real, nodes are f(R)=0 where R is the 3N dimensional vector.
- Nodes are a 3N-1 dimensional surface. (Do not confuse with single particle orbital nodes!)
- Coincidence points  $\mathbf{r}_i = \mathbf{r}_j$  are 3N-3 dimensional hyper-planes
- In 1 spatial dimension these "points" exhaust the nodes: fermion problem is easy to solve in 1D with the "no crossing rule."
- Coincidence points (and other symmetries) only constrain nodes in higher dimensions, they do not determine them.
- The nodal surfaces define nodal volumes. How many nodal volumes are there? Conjecture: there are typically only 2 different volumes (+ and -) except in 1D. (but only demonstrated for free particles.)

# Nodal Picture: 2d slice thru 322d space

- Free electron
- Other electrons
- Nodes pass thru their positions
- Divides space into 2 regions
- Wavelength given by interparticle spacing

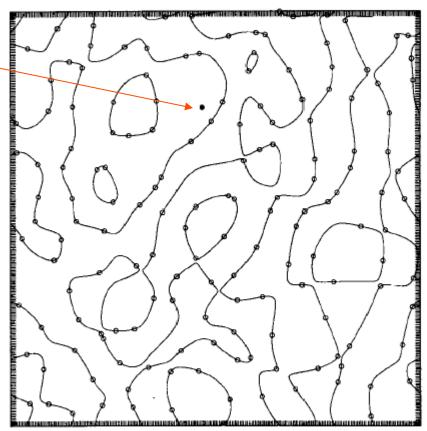


Fig. 3. A 2D cross section of the ground-state wave function of 161 free (polarized) fermions in a periodic square. All 161 particle positions were sampled using variational Monte Carlo from  $\phi(R)^2$ . The filled circle indicates the original position of the first particle. The other 160 particles are fixed at positions indicated by the open circles, and nodes of the wave function as a function of the position of the first particle are plotted. The resolution of the contouring program is approximately half of the fine scale shown around the border of the plot.

#### Fixed-Phase method

Ortiz, Martin, DMC 1993

- Generalize the FN method to complex trial functions:  $\Psi(R) = e^{-U(R)}$
- Since the Hamiltonian is Hermitian, the variational energy is real:

$$E_V = \frac{\int \! dR \ e^{-2\Re U(R)} \left[ V(R) + \lambda \nabla^2 U(R) - \lambda \left[ \Re \nabla U(R) \right]^2 + \lambda \left[ \Im \nabla U(R) \right]^2 \right]}{\int \! dR \ e^{-2\Re U(R)}}$$

- We see only one place where the energy depends on the phase of the wavefunction.
- We fix the phase, then we add this term to the potential
   energy. In a magnetic field we get also the vector potential.

effective potential=
$$V(R) + \sum \lambda_i \left[ A(r_i) + \Im \nabla_i U(R) \right]^2$$

- We can now do VMC or DMC and get upper bounds as before.
- The imaginary part of the local energy will not be zero unless the right phase is used.
- Used for twisted boundary conditions, magnetic fields, vortices, phonons, spin states, ...

### Wavefunctions beyond Jastrow

- Use method of residuals construct a sequence of increasingly better trial wave functions. Justify from the Importance sampled DMC.
- Zeroth order is Hartree-Fock wavefunction
- First order is Slater-Jastrow pair wavefunction (RPA for electrons gives an analytic formula)
- Second order is 3-body backflow wavefunction
- Three-body form is like a squared force. It is a bosonic term that does not change the nodes.

$$\exp\{\sum_{i}\left[\sum_{j}\xi_{ij}(r_{ij})(\mathbf{r}_{i}-\mathbf{r}_{j})\right]^{2}\}$$

smoothing

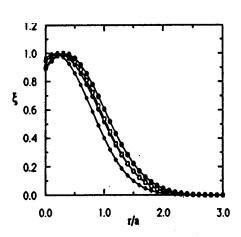
$$\phi_{n+1}(R) \approx \phi_n(R) e^{-\tau < \phi_n^{-1} H \phi_n > \tau}$$

$$\phi_0 = e^{i \sum_j \mathbf{k}_j \cdot \mathbf{r}_j}$$

$$E_0 = V(R)$$

$$\phi_1 = \phi_0 e^{-U(R)}$$

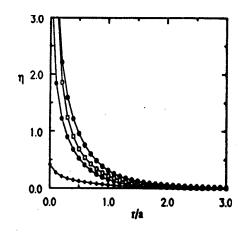
$$E_1 = U(R) - \left[\nabla W(R)\right]^2 + i \sum_j \mathbf{k}_j \cdot \left(\mathbf{r}_j - \nabla_j Y(R)\right)$$



#### Backflow wave function

- Backflow means change the coordinates to quasi- coordinates.
- Leads to a much improved energy and to improvement in nodal surfaces. Couples nodal surfaces together.

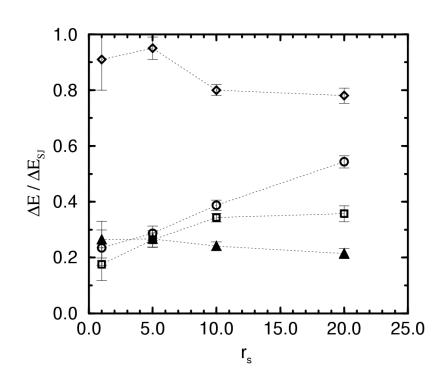
Kwon PRB 58, 6800 (1998).



$$Det\{e^{i\mathbf{k}_{i}\mathbf{r}_{j}}\} \Rightarrow Det\{e^{i\mathbf{k}_{i}\mathbf{x}_{j}}\}$$

$$\mathbf{x}_{i} = \mathbf{r}_{i} + \sum_{i} \eta_{ij}(\mathbf{r}_{ij})(\mathbf{r}_{i} - \mathbf{r}_{j})$$

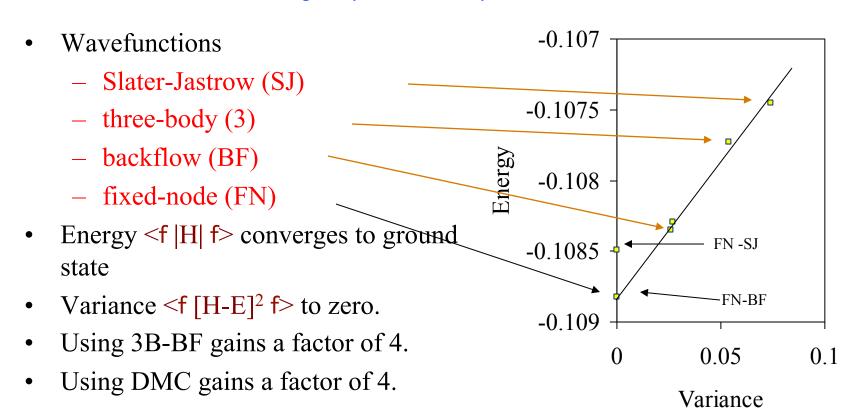
#### 3DEG



### Dependence of energy on wavefunction

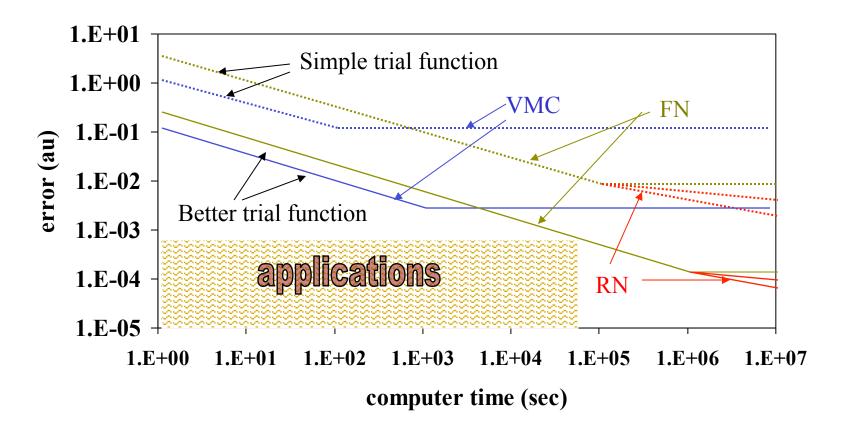
3d Electron fluid at a density  $r_s=10$ 

Kwon, Ceperley, Martin, Phys. Rev. B58,6800, 1998



## Summary of T=0 methods:

Variational(VMC), Fixed-node(FN), Released-node(RN)



### Problems with projector methods

- Fixed-node is a super-variational method. Find best energy within a given nodal surface.
- In principle we can vary nodal surfaces.
- DMC dynamics is determined by Hamiltonian
- Zero-variance principle allows very accurate calculation of ground state energy if trial function is good.
- Projector methods need a trial wavefunction for accuracy.
   They are essentially methods that perturb from the trial function to the exact function. (Note: if you don't use a trial function, you are perturbing from the ideal gas)
- Difficulty calculating properties other than energy. We must use "extrapolated estimators" or "forward walking".

$$f(R, \infty) = \phi_0(R)\psi_T(R) \text{ not } |\phi_0(R)|^2$$